

Attorney Docket No.: 5489P001

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Seok-Hyun Yun, et al.

Application No.: 10/072,511

Filed: February 5, 2002

For: ACOUSTO-OPTIC TUNABLE FILTER  
HAVING IMPROVED WAVE-DAMPING  
CAPABILITY

Examiner: Suchecki, Krystyna

Art Unit: 2882

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed for applications filed after June 30, 2003). It is respectfully requested that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

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XXX 37 C.F.R. §1.97(b).

\_\_\_\_\_ 37 C.F.R. §1.97(c). If so, then enclosed with this Information Disclosure Statement is one of the following:

\_\_\_\_\_ A statement pursuant to 37 C.F.R. §1.97(e) or

\_\_\_\_\_ A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).

\_\_\_\_\_ 37 C.F.R. §1.97(d). If so, then enclosed with this Information Disclosure Statement are the following:

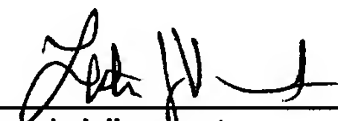
- (1) A statement pursuant to 37 C.F.R. §1.97(e); and
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If there are any additional charges, please charge Deposit Account No. 02-2666.

Respectfully submitted,


BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: June 23, 2004

  
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Substitute for Form 1449/PTO  <h2 style="margin: 0;">INFORMATION DISCLOSURE STATEMENT BY APPLICANT</h2> <p style="margin: 0; font-size: small;">(use as many sheets as necessary)</p>				<b>Complete if Known</b>	
		Application Number		10/072,511	
		Filing Date		February 5, 2002	
		First Named Inventor:		Seok-Hyun Yun	
		Art Unit		2882	
		Examiner Name		Sucheck, Krystyna	
Sheet		1		of	
				2	
		Attorney Docket Number		5489P001	

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (If known)				
		US-	4,068,191	1/10/1978	Zemon et al.	
		US-	4,781,425	11/1/1988	Risk et al.	
		US-	4,828,350	5/9/1989	Kim et al.	
		US-	4,832,437	5/23/1989	Kim et al.	
		US-	4,915,468	4/10/1990	Kim et al.	
		US-	4,971,417	11/20/1990	Krinsky et al.	
		US-	5,007,705	4/16/1991	Morey et al.	
		US-	5,708,736	1/13/1998	Steinblatt	
		US-	6,021,237	2/1/2000	Kim et al.	
		US-	6,151,157	11/21/2000	Ball et al.	
		US-	6,151,427	11/21/2000	Satorius	
		US-	6,233,379 B1	05/15/2001	Kim et al.	
		US-	6,253,002 B1	6/26/2001	Kim et al.	
		US-	6,343,165 B1	1/29/2002	Kim et al.	
		US-	6,510,261 B2	1/21/2003	Sorin et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Country Code <sup>3</sup>	Number <sup>4</sup> Kind Code <sup>5</sup> (if known)			

Examiner Signature		Date Considered	
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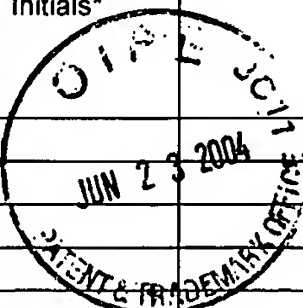
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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS.

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				Examiner Name	Sucheck, Krystyna
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		Number-Kind Code <sup>2</sup> (If known)				
		US-	6,539,148 B1	3/25/2003	Kim et al.	
		US-	6,631,224 B1	10/7/2003	Sorin et al.	
		US-	6,640,027 B2	10/28/2003	Kim et al.	
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Examiner Signature		Date Considered	
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## Summary of USPTO Kind Codes Used on Documents Published Beginning January 2, 2001

WIPO ST.16 Kind Codes	Kind of document	Comments
A1	Patent Application Publication	Pre-grant publication available March 2001
A2	Patent Application Publication (Republication)	Pre-grant publication available March 2001
A9	Patent Application Publication (Corrected Publication)	Pre-grant publication available March 2001
B1	Patent	No previously published pre-grant publication
B2	Patent	Having a previously published pre-grant publication and available March 2001
C1, C2, C3...	Reexamination Certificate	Previously used codes B1 and B2 are now used for granted Patents
E	Reissue Patent	No change
H	Statutory Invention Registration (SIR)	No change
P1	Plant Patent Application Publication	Pre-grant publication available March 2001
P2	Plant Patent	No previously published pre-grant publication
P3	Plant Patent	Having a previously published pre-grant publication and available March 2001
P4	Plant Patent Application Publication (Republication)	Pre-grant publication available after March 2001
P9	Plant Patent Application Publication (Corrected Publication)	Pre-grant publication available March 2001
S	Design Patent	No change